

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

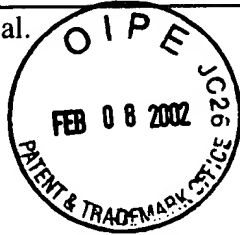
Applicant(s): Joseph et al.

Serial No.: 09/876,351

Filed: June 6, 2001

Title: Secure Inter-Node Communication

Attorney Docket No.: BEA920010008US1



Group Art Unit: 2151

Examiner: Unassigned

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Technology Center 2100

Box DD

Assistant Commissioner for Patents

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted under 37 CFR 1.97(b).

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

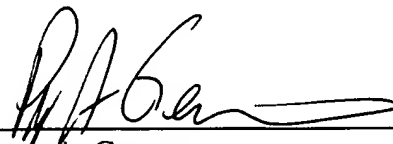
The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: 19 Nov 2001

IBM Corporation
Intellectual Property Law Dept., EDO2-805
15450 SW Koll Parkway
Beaverton, OR 97006-6063
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Pryor A. Garnett
Attorney for Applicant
Registration No. 32,136

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	09/876,351
Filing Date	6/6/2001
First Named Inventor	Joseph et al.
Group Art Unit	2151
Examiner Name	Unassigned
Attorney Docket Number	BEA920010008US1

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

BEA920010008US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: **D. Joseph et al.**

Serial No.: **09/876,351**

Filed: **June 6, 2001**

Group Art Unit: **2151**

Examiner: **Unassigned**

Attorney Docket No.: **BEA920010008US1**

Title: **SECURE INTER-NODE COMMUNICATION**

Box DD

Assistant Commissioner for Patents
Washington, DC 20231

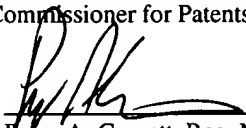
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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that the enclosed or attached correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Box DD, Washington, D.C. 20231.

19 Mar 2001

Date


Pryor A. Garnett, Reg. No. 32,136

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Enclosed herewith is a Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

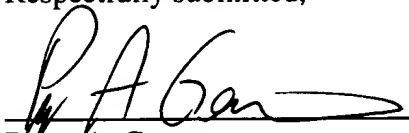
BEA920010008US1

Provision of Copies of References

- ☒ [X] Copies of all cited references are enclosed.
- ☐ [] A copy of the ABSTRACT of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ [] A partial translation of Reference ____ is enclosed herewith and forms a part hereof.
- ☐ [] A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ [] A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.
- ☒ [X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 501336. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: 19 Nov 2001


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Registration No. 32,136

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